

## Therapeutic Reviews

**Series Co-Editors: Andrew Wilcock, DM, FRCP, and Robert Twycross, DM, FRCP**

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### **Antipsychotics**

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**Indications:** Licensed indications vary between products; consult the manufacturer's Product Insert for details. Uses include acute psychotic symptoms, mania and bipolar disorders, schizophrenia, agitation, delirium, nausea and vomiting, intractable hiccup, treatment-resistant depression.<sup>1</sup>

#### **Neurophysiology of Dopamine**

Dopamine has a central role in arousal, motivation, attention, the extrapyramidal motor system and other pathways (Table 1). Although the exact etiology is uncertain, dopamine dysregulation plays a role in a number of symptoms, e.g., nausea, hallucinations, and dopamine antagonist drugs such as antipsychotics can help palliate these. An appreciation of the neurophysiology of dopamine facilitates a fuller understanding of the numerous therapeutic uses and undesirable effects of antipsychotics.

Psychotic symptoms arise from opposing dopamine imbalances:

- “positive” symptoms (delusions and hallucinations) result from dopamine *excess* in the mesolimbic system
- “negative” symptoms (apathy, anhedonia and cognitive blunting) result from dopamine *deficit* in the mesocortical system.

Arousal, motivation and attention are regulated by a two-way loop between the prefrontal/limbic cortex and the thalamus. The prefrontal/limbic cortex identifies situations requiring attention and the thalamus is directed to allow relevant information to pass through to the cerebral cortex while filtering out the rest. This thalamic sensory filter is formed by GABAergic neurons, which are switched off by dopamine to allow salient information through. In psychosis, dopamine overactivity leads to excessive information throughput, resulting in hallucinations and delusions (the “salience hypothesis”). D<sub>2</sub> antagonist antipsychotics help to correct this overactivity, and improve these symptoms.<sup>2–5</sup> Conversely, D<sub>2</sub> antagonists augment dopaminergic underactivity in the mesocortical system, leading to, or exacerbating, “negative” symptoms.<sup>2,4,5</sup>

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Table 1  
Dopaminergic Pathways

| Pathway  | Function                                       | Symptoms of Dysregulation  |
|--|--|--|
| <i>Mesolimbic</i><br>Midbrain reticular formation → limbic cortex              | Pleasure, motivation and reward <sup>a</sup>   | ↑ Dopamine: “positive” symptoms of psychosis (delusions, hallucinations)   |
| <i>Mesocortical system</i><br>Midbrain reticular formation → prefrontal cortex | Affect, executive function, concentration      | ↓ Dopamine: depression; “negative” symptoms of psychosis (apathy, anhedonia and cognitive blunting)                      |
| <i>Nigrostriatal system</i><br>Substantia nigra → corpus striatum              | Extrapyramidal motor system                    | ↓ Dopamine: Parkinson’s disease, drug-induced parkinsonism, akathisia, dystonia, restless legs<br>↑ Dopamine: dyskinesia |
| <i>Tuberoinfundibular system</i>   | Dopaminergic inhibition of prolactin secretion | ↓ Dopamine: hyperprolactinemia   |
| <i>Thalamic dopamine pathway<sup>ft</sup></i><br>Multiple origins → thalamus   | Sleep and arousal through sensory gating       |  |
| <i>Area postrema</i>   | Emetogenesis                                   | ↑ Dopamine: nausea and vomiting  |

<sup>a</sup>Both the mesolimbic and thalamic dopamine pathways affect thalamic sensory gating. Mesolimbic dysregulation is best characterized in the formation of “positive” psychotic symptoms (see text).

D<sub>2</sub> antagonism can also disrupt other pathways unaffected by psychosis:

- nigrostriatal system, part of the extrapyramidal motor system:
  - D<sub>2</sub> antagonists initially cause underactivity, similar to Parkinson’s disease → acute extrapyramidal symptoms
  - subsequent adaptation to D<sub>2</sub> antagonism leads to D<sub>2</sub> receptor upregulation → tardive dyskinesia
- tuberoinfundibular system; D<sub>2</sub> antagonists cause hyperprolactinemia → sexual dysfunction.

The newer “atypical” antipsychotics have been developed in an attempt to overcome some of the above limitations of “typical” D<sub>2</sub> antagonists.

### Pharmacology

Conventionally, antipsychotics are divided into two classes:

- typical:
  - phenothiazines: chlorpromazine, levomepromazine (not USA), perphenazine, prochlorperazine, promazine, trifluoperazine
  - butyrophenones: haloperidol
- atypical: aripiprazole, clozapine, olanzapine, quetiapine, risperidone.

However, there is much variation within, and overlap between, these classes. Although all antipsychotics are characterized by D<sub>2</sub> antagonism, differences in receptor profile result in clinical differences between them (Table 2).<sup>6</sup> Antipsychotics are variably associated with antagonistic effects at the following receptors:

Table 2  
Receptor Affinities for Selected Antipsychotics<sup>6–9</sup>

|                           | D <sub>2</sub> | 5HT <sub>2A</sub> | 5HT <sub>2C</sub> | 5HT <sub>3</sub> | H <sub>1</sub> | α <sub>1</sub> | α <sub>2</sub> | ACh <sub>M</sub> |
|---------------------------|----------------|-------------------|-------------------|------------------|----------------|----------------|----------------|------------------|
| Aripiprazole              | +++PA          | +++               | +++               | -                | +++            | +++            | ++             | -                |
| Chlorpromazine            | +++            | +++               | ++                | -                | +++            | +++            | +              | ++               |
| Clozapine                 | +              | +++               | ++                | +                | +++            | +              | +              | +++              |
| Haloperidol               | +++            | +                 | -                 | -                | -              | ++             | -              | -                |
| Levomepromazine (not USA) | ++             | +++               | -                 | -                | +++            | +++            | +              | ++               |
| Perphenazine              | +++            | +++               | +                 | -                | +++            | ++             | +              | -                |
| Prochlorperazine          | +++            | ++                | +                 | -                | ++             | ++             | -              | +                |
| Olanzapine                | ++             | +++               | +                 | +                | +              | ++             | +              | ++               |
| Quetiapine                | +              | +                 | +                 | -                | ++             | +              | ++             | -                |
| Risperidone               | +++            | +++               | ++                | -                | ++             | +              | +++            | -                |

Affinity: +++ high, ++ moderate, + low, (+) borderline, - negligible or none; blank = no data. PA = partial agonist.

- muscarinic, causing dry mouth, constipation, etc.
- adrenergic, causing postural hypotension
- histaminic, causing drowsiness.

In contrast, the D<sub>2</sub>-specific action of haloperidol avoids such problems, but increases the risk of extrapyramidal effects.

Atypical antipsychotics carry a lower risk of extrapyramidal effects and improved efficacy for negative symptoms. This relates to:<sup>2,10,11</sup>

- 5HT<sub>2</sub> receptor antagonism
- D<sub>2</sub> partial agonism
- lower affinity and shorter duration D<sub>2</sub> antagonism.

5HT<sub>2</sub> receptors inhibit the nigrostriatal and mesocortical systems. Thus, 5HT<sub>2</sub> antagonist antipsychotics increase activity in these pathways, countering the extrapyramidal impact of D<sub>2</sub> antagonism and improving “negative” symptoms.<sup>12</sup> “Negative” symptoms overlap with depressive symptoms and can respond to antidepressants.<sup>13</sup> Conversely some 5HT<sub>2</sub> antagonist antipsychotics are beneficial in refractory depression (e.g., olanzapine).<sup>14,15</sup> It is noteworthy that increased prefrontal dopamine release through 5HT<sub>2</sub> antagonism is also an important action of some antidepressants, e.g., mirtazapine.

An antipsychotic that acts as a D<sub>2</sub> partial agonist will function as a D<sub>2</sub> antagonist in the presence of excessive dopamine (e.g., as in the mesolimbic system in psychosis), because partial activation of the D<sub>2</sub> receptor will reduce overall transmission. However, when there is dopamine depletion, the partial D<sub>2</sub> receptor activation is sufficient to increase overall transmission, and it functions as a D<sub>2</sub> agonist, e.g., in the nigrostriatal and mesocortical systems. In consequence, a D<sub>2</sub> partial agonist antipsychotic can potentially improve both “positive” and “negative” symptoms and limit undesirable extrapyramidal effects.

However, the extent to which atypical antipsychotics succeed *in practice* in reducing extrapyramidal effects and negative symptoms is unclear. The relatively lower doses of atypicals used in RCTs with typicals may partly explain some of the observed differences. Further, the properties purported to account for such differences also are shared by some typical antipsychotics, e.g., 5HT<sub>2</sub> antagonism. On the other hand, the higher incidence of other undesirable effects (particularly metabolic) with atypicals limits improvement in overall tolerability.

Direct comparisons suggest that acute extrapyramidal effects would be avoided in one patient for every 3–6 patients treated with an atypical rather than a typical antipsychotic.<sup>16,17</sup> However, the difference is greatest relative to haloperidol. Further, in an RCT, although extrapyramidal effects accounted for more discontinuations of perphenazine compared with several atypicals (8% vs. 2–4%), overall discontinuation rates for undesirable effects or lack of efficacy were comparable.<sup>18</sup> All treatment groups experienced some degree of involuntary movement (13–17%), akathisia (5–9%) or extrapyramidal signs (4–8%). Most studies are too short to evaluate the risk of tardive dyskinesia. Available data suggest a five times lower risk with atypicals compared with haloperidol in the first year of use, although haloperidol doses were relatively higher.<sup>19</sup> Among atypicals, risperidone carries the highest risk of extrapyramidal effects, and clozapine and quetiapine the lowest.<sup>20</sup>

Acquisition costs for atypicals are higher than for typicals. Suggested reductions in costs from the long-term use of atypicals may be less relevant in palliative care if drugs are used at lower doses and for shorter periods.<sup>21</sup>

Pharmacokinetic details of selected antipsychotics are summarized in Table 3.

### Cautions

For full list, see manufacturer's Package Insert.

### Stroke Risk

Meta-analysis of RCTs in the elderly with dementia has shown that the risk of stroke with olanzapine and risperidone is 2–3 times higher compared with placebo,<sup>24–27</sup> with a doubling of all-cause mortality with olanzapine.<sup>25</sup> The mechanism of this association is not known, but it is regarded as a class effect. Subsequent findings indicate an increased risk in all elderly patients for both typicals and atypicals,<sup>20,28–30</sup>

Table 3  
Pharmacokinetic Details for Selected Antipsychotics<sup>22,23</sup>

|                           | Oral<br>Bioavailability (%) | Time to Peak<br>Plasma Concentration           | Half-Life (h)  | Metabolism<br>(Predominant<br>P450 Isoenzyme) |
|---------------------------|-----------------------------|--|--|---|
| Chlorpromazine            | 10–25                       | 2–4 h (PO)                                     | 30   | CYP2D6  |
| Clozapine                 | 50–60                       | 2 h  | 12   | CYP1A2, CYP3A4                                |
| Haloperidol               | 60–70                       | 2–6 h (PO)                                     | 13–35  | Multiple                                      |
| Levomepromazine (not USA) | 40                          | 10–20 min (SC)                                 | 15–30  | Multiple <sup>a</sup>                         |
| Olanzapine                | 60                          | 1–3 h (PO)                                     | 34 <sup>b</sup> (52 <sup>c</sup> )                         | CYP1A2, CYP2D6                                |
| Prochlorperazine          | 6                           | 4 h (PO)                                       | 15–20  | Multiple                                      |
|                           | 14 (buccal)                 | 4–8 h (buccal); shorter with<br>multiple doses |  |   |
| Quetiapine                | 100                         | 1.5 h  | 7 <sup>d</sup> (10–14 <sup>e</sup> )<br>(12 <sup>f</sup> ) | CYP3A4  |
| Risperidone               | 99                          | 1–2 h  | 24 <sup>f,g</sup>  | CYP2D6 <sup>h</sup>                           |

<sup>a</sup>P450 isoenzymes not fully characterised; some metabolites are active.

<sup>b</sup>Unaffected by hepatic or renal impairment.

<sup>c</sup>In the elderly.

<sup>d</sup>Clearance reduced by both renal and hepatic impairment.

<sup>e</sup>Of active metabolite.

<sup>f</sup>For risperidone + active 9-hydroxy metabolite.

<sup>g</sup>Clearance reduced by renal impairment: see Product Monograph.

<sup>h</sup>Activity of 9-hydroxyrisperidone, the predominant CYP2D6 metabolite, is comparable to risperidone; thus overall clinical effect is not altered by CYP2D6 polymorphisms or inhibitors.

greatest in those with dementia,<sup>31</sup> within the first month of starting treatment, and with higher doses. The relative risk with individual drugs has not yet been determined.

### Epilepsy

Similar to many other psychotropic medications, antipsychotics cause a dose-dependent reduction in seizure threshold. The risk for individual agents approximates to the degree of sedation: chlorpromazine and clozapine carry a higher risk and haloperidol a lower risk. To minimize the risk, use the lowest risk antipsychotic (e.g., haloperidol) at the lowest effective dose. In palliative care, depot formulations are best avoided because they cannot be withdrawn quickly if problems occur.

### Parkinsonism and Parkinson's Disease

All antipsychotics, through D<sub>2</sub> antagonism, can cause parkinsonism or worsen existing parkinsonism of any etiology. The risk is lower with clozapine and quetiapine. In patients with parkinsonism, alternatives to antipsychotics should be used where possible, e.g., for agitation, consider trazodone or a benzodiazepine, for nausea and vomiting consider:

- domperidone (available as a suppository)
- ondansetron
- scopolamine (hyoscine) *hydrobromide*, but may cause delirium.

Nonetheless, at the end of life, despite being D<sub>2</sub> antagonists, it may be necessary to prescribe small doses of chlorpromazine, olanzapine or quetiapine if all else fails.

Where delirium or psychotic symptoms occur in the context of Parkinson's disease or Lewy body dementia:

- look for potentially reversible causes of delirium, e.g., sepsis
- consider a trial reduction of antiparkinsonian medication:
  - > reduce dopamine receptor agonists and antimuscarinic agents initially
  - > dopamine precursors, e.g., levodopa, are less likely to cause psychosis.<sup>32</sup>

If the above measures are unhelpful, commence quetiapine 12.5–25 mg/24 h; if not tolerated, seek specialist advice. Options include switching to clozapine.<sup>32</sup>

### Drug Interactions

For full list, see manufacturer's Package Insert.

Several pharmacodynamic interactions (additive sedation, hypotension and QT prolongation; reduced effect of antiparkinsonian medication) can be predicted from the receptor profile of antipsychotics.

Potentially serious interactions may result from induction or inhibition of hepatic metabolism. CYP3A4 inhibitors (e.g., aprepitant, cimetidine, macrolide antibiotics, ketoconazole) can significantly increase plasma levels of aripiprazole, pimozide and quetiapine. Carbamazepine and protease inhibitors exhibit varied interactions.

Antipsychotics are one of several classes of drugs that can prolong the QT interval, and at least theoretically increase the risk of cardiac tachyarrhythmias, including potentially fatal *torsade de pointes*. Generally, concurrent prescribing of two drugs which can significantly prolong the QT interval should be avoided (see [www.azcert.org](http://www.azcert.org)).

### Undesirable Effects

For full list, see manufacturer's Package Insert.

A summary is given in **Box A**.

### Neuroleptic (Antipsychotic) Malignant Syndrome

Neuroleptic (antipsychotic) malignant syndrome (NMS) is a potentially life-threatening reaction that occurs in <1% of those prescribed an antipsychotic (**Box B**).<sup>37,38</sup> This idiosyncratic syndrome is associated with all antipsychotics.<sup>39</sup>

Most cases of NMS occur within two weeks of starting treatment or a dose increase. It is a hypodopaminergic state; bradykinesia progresses to immobilization, akinesia and stupor, accompanied by lead-pipe rigidity, fever, and autonomic instability.

Symptoms indistinguishable from NMS have been reported in patients with Parkinson's disease when long-term treatment with levodopa and bromocriptine has been abruptly discontinued.<sup>40–42</sup> This has led

#### Box A. Undesirable Effects of Antipsychotics

##### Extrapyramidal syndromes

Parkinsonism, akathisia, dystonia, tardive dyskinesia.<sup>33</sup>

##### Metabolic effects<sup>20,34</sup>

*More common with typicals and risperidone*

Hyperprolactinemia resulting in amenorrhea, galactorrhea, gynecomastia, sexual dysfunction, osteoporosis.

*More common with atypicals, particularly olanzapine and clozapine*

Weight gain.

Dyslipidemia, possibly associated with weight gain.

Type 2 diabetes mellitus, both new onset and exacerbation of pre-existing disease; risk independent of weight gain.

##### Cardiovascular effects

QT prolongation: dose-related, affected by presence of other risk factors, highest risk with thioridazine (withdrawn) and ziprasidone.<sup>20,35</sup>

Venous thromboembolism; risk possibly highest with atypicals.<sup>36</sup>

Stroke and increased risk of death in elderly patients (see Cautions).

Postural hypotension ( $\alpha$ -adrenergic antagonism), particularly phenothiazines and clozapine; also seen with quetiapine and risperidone.

##### Miscellaneous<sup>20</sup>

Reduced seizure threshold (see Cautions).

Antimuscarinic effects; more with phenothiazines and clozapine.

Neuroleptic (antipsychotic) malignant syndrome (see below).

Agranulocytosis is seen in about 1% of patients taking clozapine, generally after 3–6 months.

**Box B. Clinical Features of Neuroleptic (Antipsychotic) Malignant Syndrome****Essential**

Severe muscle rigidity  
Pyrexia ± sweating

**Additional**

Muteness γ stupor  
Tachycardia and elevated/labile blood pressure  
Leukocytosis  
Raised plasma creatine phosphokinase ± other evidence of muscle injury, e.g., myoglobinuria

to the suggestion that the syndrome would be better called *acute dopamine depletion syndrome*.<sup>40</sup>

Death occurs in up to 20% of cases, mostly as a result of respiratory failure. The use of a dopamine agonist, e.g., bromocriptine, halves the mortality.<sup>43</sup> Subsequent prescription of an antipsychotic carries a 30–50% risk of recurrence.<sup>44</sup>

NMS is self-limiting if the causal antipsychotic drug is discontinued (and an alternative antipsychotic *not* prescribed). Generally it resolves in 1–2 weeks unless caused by a depot antipsychotic, when it takes 4–6 weeks. Antipsychotics are *not* removed by hemodialysis. Specific measures include:

- discontinuation of the causal drug
- prescription of a muscle relaxant, e.g., a benzodiazepine
- in severe cases, prescription of bromocriptine.<sup>43</sup>

General supportive measures may need to extend to artificial hydration and nutrition. Complications such as hypoxia, acidosis and renal failure require appropriate acute management.

**Use of Antipsychotics in Palliative Care**

For greater detail about doses, see the individual drug monographs in the Hospice and Palliative Care Formulary USA<sup>45</sup> or the Palliative Care Formulary (Canadian edition),<sup>46</sup> or visit [www.palliativedrugs.com](http://www.palliativedrugs.com).

***Nausea and vomiting***

The D<sub>2</sub> antagonism of all antipsychotics is likely to provide anti-emetic activity in the area postrema (chemoreceptor trigger zone). Where specific action at this site is required (e.g., most chemical causes of nausea), a selective dopaminergic agent such as haloperidol is used,<sup>47</sup> although studies in palliative care patients are lacking.<sup>48</sup> However, most antipsychotics have moderate or high affinity at several receptors, some of which are involved in the transduction of emetic signals.<sup>49</sup> Thus, most antipsychotics are, to a variable extent, broad-spectrum anti-emetics. Levomepromazine (not available in the USA) and olanzapine are the most attractive choices (and haloperidol is the least) in this respect.<sup>50,51</sup>

***Delirium***

Treatment of underlying causes, non-drug management (e.g., orientation strategies, correction of sensory deprivation) and prevention of complications are central to delirium management.

When medication is required, antipsychotics are often used, e.g., haloperidol, although evidence is limited and comparative studies with other psychotropics are lacking.<sup>52–54</sup> When antipsychotics alone are insufficient, or when sedation also is required, e.g., for the initial management of a hyperactive, frightened patient, benzodiazepines or trazodone can be added.

Benzodiazepines can paradoxically worsen agitation, but are preferred for delirium related to alcohol withdrawal, neuroleptic malignant syndrome or Parkinson's disease. The use of cholinergics has been reported, but rivastigmine increased mortality in an RCT.<sup>55</sup> Hallucinations in delirium respond to antipsychotics in hours–days, whereas seemingly identical phenomena in a psychosis may not resolve for 1–2 weeks.

### ***Agitation and challenging behaviors in dementia***

Patients with dementia may become agitated for many reasons, including an appropriate response to a distressing situation. Possible precipitants should be treated or modified:

- intercurrent infections
- pain and/or other distressing symptoms
- environmental factors.

When no reversible cause is found and agitation is mild, assurance that such behaviors are often self-limiting may suffice. Training in non-drug management of behavioral disturbances reduces the need for psychotropic medication.<sup>56</sup>

The first-line use of antipsychotics for behavioral disturbance in dementia is inappropriate and actively discouraged.<sup>57–60</sup> In addition to safety concerns (increased risk of stroke and overall mortality, see above), evidence of benefit compared with non-drug measures is limited. A recent large RCT for agitation or psychosis in patients with dementia found both atypicals and typicals to be no better than placebo in all but a few secondary outcomes.<sup>61</sup> Taken together with other studies, the efficacy of antipsychotics in dementia is at best modest, and should be used only where other measures have failed.<sup>62,63</sup>

The use of alternative drugs, i.e., antidepressants, benzodiazepines, antiepileptics and cholinesterase inhibitors, has been proposed. However, evidence is generally more limited than for antipsychotics, and insufficient to allow clear evidence-based recommendations of one class over another.<sup>62,64</sup> Further, larger studies have not replicated the earlier benefit reported for trazodone.<sup>62</sup>

Even so, the serious consequences of not treating severe agitation or psychosis in dementia also are recognized.<sup>62</sup> Where drug treatment is required, clinicians should be guided by the individual patient's symptoms and co-morbidities, and the clinicians' familiarity with the agents available. Options include:

- haloperidol
- atypicals, e.g., olanzapine, quetiapine, risperidone
- cholinesterase inhibitors (benefit is marginal, but may be better tolerated).<sup>64</sup>

Whichever drug is selected, use the lowest effective dose for the shortest possible time; attempt dose reduction every 2–3 months; many patients do not deteriorate when medication is withdrawn.<sup>63–65</sup>

### ***Intractable hiccup***

Chlorpromazine or haloperidol are used when more specific treatment, e.g., simethicone (an anti-foaming agent) ± metoclopramide for gastric distension, or baclofen are ineffective.<sup>66</sup>

### ***Refractory depression***

Certain antipsychotics have been used as adjuncts for depression refractory to conventional antidepressants, particularly when switching antidepressants has been unsuccessful. Generally, either quetiapine or olanzapine is added to an SSRI.<sup>15,67,68</sup>

### ***Pain***

Dopamine is implicated in pain processing<sup>69</sup> and, in the past, antipsychotics were sometimes used as part of an analgesic cocktail. However, RCTs yield conflicting results.<sup>70</sup> Although no longer used as analgesics themselves, antipsychotics are helpful for treating the undesirable effects of analgesics, particularly nausea and delirium.<sup>71</sup>

*Table 4*  
**Equivalent Doses of Typical Antipsychotics<sup>72</sup>**

| Drug            | Dose (mg) |
|-----------------|-----------|
| Chlorpromazine  | 100       |
| Promazine       | 100       |
| Perphenazine    | 8         |
| Trifluoperazine | 5         |
| Haloperidol     | 3         |

### Switching Antipsychotics

Equivalent doses of typicals have been estimated, predominantly from surveys of psychiatric practice, and provide a starting point if switching from one to another (Table 4).<sup>72</sup> However, the dose of atypicals is less variable and thus their starting dose is unaffected by the dose of previous antipsychotics.

### Abbreviations/Key

|                                 |  |
|---------------------------------|--|
| 5HT <sub>2A</sub> ,             |  |
| 5HT <sub>2C</sub> ,             |  |
| 5HT <sub>3</sub>                | 5 Hydroxytryptamine-2A, -2C and -3 receptors |
| α <sub>1</sub> , α <sub>2</sub> | Alpha-1 and -2 receptors                     |
| ACh <sub>M</sub>                | Anticholinergic (muscaric) receptor          |
| CYP                             | Cytochrome P450                              |
| D <sub>2</sub>                  | Dopamine-2 receptor                          |
| H <sub>1</sub>                  | Histamine-1 receptor                         |
| PO                              | Per os, by mouth                             |
| RCT                             | Randomized controlled trial                  |
| SC                              | Subcutaneous                                 |
| SSRI                            | Selective serotonin reuptake inhibitor       |

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